People Centered Approach in Flood and Land Management in the Mekong Basin 25 February 2011, Luang Prabang-Lao PDR

# Flood Vulnerability Indices in the Lower Mekong Basin ADB-TA 7276

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## **Objective and Scope**

The TA is expected to support the Mekong River Commission Secretariat in developing flood and drought vulnerability indices for the lower Mekong basin, which have been identified as a priority requirement for preparing investment projects.









#### The Letter of Agreement August, 6 2010



**Mekong River Commission** 

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No. L-OSP 009/10

6<sup>th</sup> August 2010

#### **Letter of Agreement**

<u>Subject</u>: Support to the Mekong River Commission to develop flood vulnerability indices (FVIs) in the Lower Mekong Basin under the ADB RETA-7276 by the ICHARM

Dear Mr. Kuniyoshi Takeuchi,

 The Flood Management and Mitigation Program (FMMP) of the Mekong River Commission (MRC) is being implemented since December 2004 and is expected to be completed by November 2010. The FMMP consists of five inter-related components designed to enable the MRC to assist the countries of the lower Mekong basin to reduce the damage to infrastructure, economic losses and loss of lives and livelihoods as a result of extreme floods. ADB co-funded FMMP's Component 2 "Structural Measures and Flood Proofing" from 2004 onwards.



Source: UN/ISDR Global Assessment Report on Disaster Risk Reduction, 2009

Policy response to future uncertain global change







& preparedness



## Increasing urban population mostly in developing regions and aging population







In Lower Mekong Basin (LMB)<sup>2</sup>, the TA will support the Mekong River Commission Secretariat in developing flood vulnerability indices, which have been identified as a priority requirement for preparing further investment projects in the LMB region. The TA will help the countries in LMB to develop investment projects and improve the ability of communities to prepare for, respond to, and recover from the negative impact of floods, together with other regional TA (RETA 6456) for flood and drought risk management and mitigation in the Greater Mekong Sub-region. The TA will help with the following:

- (i) Defining flood vulnerability indices relevant to future flood management at the community level (the impact of floods on health, food security, livelihoods, poverty, education, and others), and relating them statistically to the underlying socioeconomic factors.
- (ii) Defining and measuring the factors that affect the various flood vulnerability, through supplementary community surveys (at the family level) of flood and impact in flood-prone villages where the basic socioeconomic profile has previously been determined by community surveys of other agencies (typically nongovernment organizations).



The objective of this study is to identify and quantitatively evaluate a set of flood vulnerability indices (FVIs) and map them by considering changes in both hazard and exposure components.





## **Indicator Quantification Process**

- Data collection on community and county level
- Calculations are made for communities intersected by inundation areas of an HQ<sub>extreme</sub>
- Composite Index is created from the quantified indicators

Indicator

ranking, weighting, aggregating

Indices

## Working Layout



# Relationship of Socio-economic indicators and flood damages

- In order to statistically analyze the relationship of socio-economic indicators and local flood vulnerabilities, there is a need to have geographically distributed flood disaster damages (at least human losses and total economic damages) at province level in the Lower Mekong Basin as most of the socio-economic data are available at province level as well.
- An intensive survey has been conducted to collect local flood damages data. Spatially distributed flood damages data at province level are collected for the following cases:
  - Cambodia floods in 1996 and 2002 (FAO report of 1999 and 2003)
  - Lao PDR floods of 1995 and 1996 (FAO report, 1999)
  - Viet Nam floods of 1998 and 2002 (UNDP report 1998 and 2002)



#### **1996 Flood Damages in Cambodia at Province Level**

Flood-affected areas		Damages	Human	Damaged	House	
Province	Flooded people	(Million USD)	Losses	Rice Field (ha)	Damaged	
Rattakan	17410	0.93	3	2583	118	
Stung Treng	51781	1.11	3	5958	253	
Kratie	71333	1.23	4	6588	78	
Kompong Cham	519632	2.42	1	28991	856	
Phnom Penh	73149	1.8	1	6270	943	
Kandal	332559	2.7	18	14826	583	
Prey Veng	458437	8.71	75	80885	1044	
Svay Rieng	100343	1.41	4	17876	55	
Takoe	149814	4.56	34	29712	291	
Kompot	12104	1.18	1	3811	200	
Pursat	120545	4.3	8	37131	120	
Battombang	98189	3.52	6	20173	167	
Banley Meanch	35641	1.47	2	4250	192	

Source: FAO, FLOOD MANAGEMENT AND MITIGATION IN THE MEKONG RIVER BASIN, 1999



## Correlation determination (r<sup>2</sup>) of selected socio-economic indicators and flood damages (at province level) in Cambodian in 1996

Indicators	Human Losses	Economic Damages	Indicators unit/definition	
Population density	0.40	0.58	Person per km <sup>2</sup>	
Population growth	0.15	0.41	Percentage	
Rural population	0.34	0.10	Percentage to total population	
Vulnerable ages (Dependency ratio)	0.55	0.01	The dependency ratio measures the number of dependents who must be supported by working-age adults. Dependents include children under 15 years and the elderly (65 years and older). A dependency ratio of 100, for example, means that each working adult must, on average, provide for the needs of one other, non-working household member. This indicator shows Vulnerable age to natural disaster as well.	
Unemployment	0.01	0.24	Proportion of labor force unemployed	
Employment in agriculture sector	0.04	0.53	Proportion of employment that are working in agriculture sector. Much agriculture sector employment is based around subsistence production. Such agricultural activity involves very low levels of productivity and income and is an important part of the pattern of poverty in rural areas.	
Poverty rate	0.27	0.31	Poverty is measured in terms of people's consumption levels for food and basic necessities. In each country a poverty line has been defined that represents the minimum value of goods and services consumed on a daily basis to adequately sustain an average adult.	
Access to safe water	0.18	0.37	Proportion of population with access to safe water	
Access to electricity	0.12	0.41	The data for Lao PDR overestimate the proportion of households with access to electricity. They report the percentage of villages with electricity supply, although there may be households in these villages that do not have access to this service.	
Literacy rate	0.46	0.01	The proportions of people over the age 15 years who can read and write	



## **AHP Method**

Flood Risk Factor Analysis	
Intensive Rainfall Intensity	Human Losses
Long Inundation Time	Economic Damages
High Inundation Depth	Houses
High Flood Flow Velocity	Farmland and Agriculture
Living in Flood Prone Area	Industries
Large Inundated Area	Fishery
Low Societal Capital	Cattle
Poor Infra Development	Properties
Lack of Forecasting & Early Warning	Business Discontinuity
Lack of Emergency Response and Evacuation	Indirect Damage











## Indicator's weights

Method 1 - Eigenvalue/Eigenvector Method

- Eigenvalues are important tools in several math, science and engineering applications
  - Changing coordinate systems
  - Solving differential equations
  - Statistical applications
- Defined as follows: for square matrix A and vector x,

 $\lambda = \text{Eigenvalue of A when Ax} = \lambda x$ , x nonzero

x is then the eigenvector associated with  $\lambda$ 

- Compute by solving the characteristic equation:

 $det(\lambda I - A) = |\lambda I - A| = 0$ 



#### Indicator Weights (continued)

- Method 2: Geometric Mean
- Definition of the geometric mean: Given values x1, x2, ..., xn

$$\overline{\mathbf{x}}_{g} = \sqrt{\left[\prod_{i=1}^{n} \mathbf{x}_{i}\right]} = \text{geometric mean}$$

- Procedure:

(1) Normalize each column

- (2) Compute geometric mean of each row
- Limitation: lacks measure of consistency



Intensive Rainfall Intensity	Long Inundation Time	High Inundation Depth	High Flood Flow Velocity	Living in Flood Prone Area	Large Inundated Area	Low Societal Capital	Poor Infra Development	Lack of Forecasting &Early Warning	Lack of Emergency Response and Evacuation
1		1/5	1/7	1/5	1/3		1/5		
1/3	1	1/3	1/4	1/5	1/4	1	1/4	1/2	1
5		1	1/3	1/3	3		1	2	
7			1	1	3				
			1	1					
3		1/3	1/3	1/5	1	3	1/3		
1/2	1	1/4	1/5	1/4	1/3	1	1/3	1/2	1
5		1	1/2	1/3	3		1	4	5
1/3	2	1/2	1/3	1/3	1/2	2	1/4	1	2
1/5	1	1/3	1/5	1/4	1/3	1	1/5	1/2	1
					m				+
		CI:	0.1062	CR:	0.0712	λ: 10.9	9554		2.5

criteria preferences	Human Losses	Economic Damages
Intensive Rainfall Intensity	0.2362	0.0616
Long Inundation Time	0.0179	0.0307
High Inundation Depth	0.0841	0.1258
High Flood Flow Velocity	0.2347	0.2160
Living in Flood Prone Area	0.1482	0.2297
Large Inundated Area	0.0332	0.0805
Low Societal Capital	0.0381	0.0324
Poor Infra Development	0.0533	0.1435
Lack of Forecasting & Early Warning	0.0863	0.0497
Lack of Emergency Response and Evacuation	0.0680	0.0300





#### 1. High Flood Flow Velocity

2. Intensive Rainfall Intensity

- 3. Living in Flood Prone Area
  - 4. High Inundation Depth
  - 5. Lack of Forecasting &Early Warning
    - 6. Poor Infra Development
- 7. Lack of Emergency Response and Evacuation
  - 8. Large Inundated Area
  - 9. Low Societal Capital
  - 10. Long Inundation Time

#### criteria importance







	Indicators	Human Losses (%)	Economic Damages (%)
	Intensive Rainfall Intensity	24	6
Hazard	Long Inundation Time	2	3
Παζαι μ	High Inundation Depth	8	13
	High Flood Flow Velocity	23	22
Exposure	Living in Flood Prone Area	15	23
	Large Inundated Area	3	8
Vulnerability	Low Societal Capital	4	3
	Poor Infrastructures Development	5	14
Coping Capacity	Lack of Forecasting and Early Warning System	9	5
	Lack of Emergency Response and Evacuation	7	3

Human Losses	Weight Averages	Maximum Weight	Minimum Weight
Hazard	40%	45%	13%
Exposure	25%	28%	19%
Vulnerability	13%	9%	25%
Coping Capacity	21%	17%	44%

Economic			
Damages	Weight Averages	Maximum Weight	Minimum Weight
Hazard	28%	34%	18%
Exposure	40%	36%	47%
Vulnerability	23%	22%	18%
Coping Capacity	10%	8%	18%

#### 5<sup>th</sup> International Conference on Flood Management (ICFM5)



www.ifi-home.info/icfm-icharm/icfm5.html

## **ICFM5 Topic Areas (Parallel Sessions)**

**Topic 1:** Flood Risk Management (Prevention, Mitigation and Adaptation)

**Topic 2:** Flood Disaster Management (Preparedness, Emergency Response and Recovery)

Topic Areas

**Topic 3:** Flood Forecasting and Early Warning Systems

**Topic 4:** Flood Management in Different Climate Conditions and Geographic Zones

**Topic 5: Cross-cutting and other topics** 

居安思危 Be aware of risk while you are safe 思則有備 Awareness leads you preparedness 有備無患 Preparedness leaves you no worry

> 「春秋」左氏伝 Source : Zuo Qiuming "Zuoshi Commentary" in Confucius ed. "Spring and Autumn", 480BC

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